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**Political Science W3595**  
**Social Protection around the world**  
Monday, Wednesday 8.40 – 9.55  
Room: 516 Hamilton Hall  
Section: TBA

This course employs the tools of comparative politics to account the development of social policies in both developed and developing countries. The policies and institutions by which governments provide social protection to their citizens vary significantly across countries. Some governments provide only meager benefits to a narrow group of citizens, while others cover the entire population. In some countries, these benefits are provided directly by the state, while in others, many responsibilities are delegated to societal organizations, such as labor unions, religious organizations and so on. This course provides you with the tools to understand cross-national differences in institutions and policies among advanced industrialized countries and among developed and developing countries.

We seek to establish the relative importance of institutional variables, social cleavages and partisanship in accounting for the variation in policy design. Secondly, we will explore the impact of existing social policies on a range of labor market outcomes, including inequality, unemployment and labor force participation rates. The final part of the course explores how countries have adapted existing social policies faced with economic, demographic and fiscal pressures. We will examine the extent to which strong existing differences among welfare states can endure in the face of unfavorable economic and demographic developments and common political pressures towards welfare state retrenchment. In turning to the policies of social policy adjustment in developing countries, we explore the political and economic causes of social insurance privatization during the 1990's and reversals of these policies in recent decades.

*Prerequisites:*

Introduction to Comparative Politics or permission of the instructor.

*Requirements and grading:*

The final grade for the course will be determined as follows:

(a) Mid-term examination	25 percent
(b) Quizzes	10 percent
(b) Class/section participation	20 percent
(d) Movie review	10 percent
(c) Final exam	35 percent

*Materials:*

The following books have been ordered and are available for purchase at Book Culture (112 Street) or can be ordered from Amazon.com or Bn.com

Esping-Andersen, Gøsta. 1990. *The Three Worlds of Welfare Capitalism*. Princeton: Princeton University Press.

Esping-Andersen, Gøsta. 1999. *Social Foundations of Postindustrial Economies*. New York: Oxford University Press.

Haggard, Stephan and Kaufman, Robert (2009). *Development, democracy and welfare states*. Princeton, Princeton University Press

Mares, Isabela. 2006. *Taxation, wage bargaining and unemployment*. New York: Cambridge University Press.

Rodrik, Dani. 1997. *Has Globalization gone too far?* Washington: Institute for International Economics

The additional readings will be posted on Courseworks or will be made available by the instructor.

## TOPICS AND READINGS

### PART 1: CONCEPTS AND MEASUREMENT

**Session 1 (09/5/2012): Introduction to the course.**

**Session 2: (09/10/2012) How to study the welfare state? Part 1**

Bonoli, Giuliano. 1997. Classifying Welfare States: A two-dimension Approach. *Journal of Social Policy*, 26, 3, 351- 372.

Esping-Andersen, Gøsta. 1990. *Three Worlds of Welfare Capitalism*. Princeton: Princeton University Press, Chapter 1.

**Session 3: (09/12/2012) How to study the welfare state? Part 2**

Esping-Andersen, Gøsta. 1990. *Three Worlds of Welfare Capitalism*. Princeton: Princeton University Press, Chapters 2, 3, 5.

### PART 2: THEORETICAL BUILDING BLOCKS

**Session 4: (09/17/2012): Regimes and social policies. Do democracies have larger welfare states than non-democracies?**

Meltzer, Allan and Richard, Scott. 1981. A Rational Theory of the Size of the Government, *Journal of Political Economy*, 89: 5, 914- 927.

Boix, Carles. 2003. *Democracy and Redistribution*. New York: Cambridge University Press, Chapters 1-3.

Shapiro, Ian. 2003. Democracy and Distribution, In *The State of Democratic Theory*, Princeton: Princeton University Press, 104- 145.

**Session 5 (09/19/2012): Interest groups and social policies**

Eichengreen, Barry. 1997. Institutions and Economic Growth: Europe after World War II. In Crafts, Nicholas and Toniolo, Gianni, eds., *Economic Growth in Europe since World War II*. Cambridge: Cambridge University Press, pp. 38- 72.

**Session 6 (09/24/2012): Parties and social policies**

Huber, Evelyn and Stephens, John. 2001. *Development and Crisis of the Welfare State*. Chicago: University of Chicago Press, Chapters 2, 3, 5.

**Session 7: (09/26/2012): Institutions and social policies**

Immergut, Ellen. 1992. The Rules of the Game: The Logic of Health Policy-making in France, Switzerland and Sweden. In Steinmo, Sven, Thelen, Kathleen and Longstreth, Frank eds., *Structuring Politics: Historical Institutionalism in Comparative Analysis* . Cambridge: Cambridge University Press, pp. 57- 89.

Tsebelis, George. 2002. *Veto Players. How Political Institutions Work*. Princeton: Princeton University Press, Chapters 2 and 3.

**PART 3: THE POSTWAR EXPANSION OF WELFARE STATES**

**Session 8 (10/1/2012): Liberal welfare states: Britain**

Mares, Isabela. 2005. *Taxation, wage bargaining and unemployment*. New York: Cambridge University Press, pp. 174 – 205.

**Session 9 (10/3/2012): Conservative welfare states: Germany**

Esping-Andersen, Gøsta, and Walter Korpi. 1984. Social Policy as Class Politics in Post-War Capitalism: Scandinavia, Austria and Germany. In J. Goldthorpe, ed., *Order and Conflict in Contemporary Capitalism: Studies in the Political Economy of Western European Nations* . New York: Oxford University Press, pp. 179- 208

Mares, Isabela. 2005. *Taxation, wage bargaining and unemployment*. New York: Cambridge University Press, pp. 128-160.

**Session 10 (10/8/2012): Universalistic welfare states: Sweden**

Esping-Andersen, Gøsta, and Walter Korpi. 1985. “From Poor Relief towards Institutional Welfare States: The Development of Scandinavian Social Policy in Eriksson, Robert E., ed., *The Scandinavian Model: Welfare States and Welfare Research*, New York: M.E. Sharpe, pp. 39- 74

**Session 11 (10/10/2012): Social policy expansion in postwar Japan**

Estevez-Abe, Margarita. 2008. *Welfare and Capitalism in postwar Japan*. New York: Cambridge University Press, pp. 77- 101.

**Session 12 (10/15/2012) : Mid-term review**

**Session 13 (10/17/2012): In Class midterm**

**Session 14 (10/22/2012): [IN CLASS MOVIE]**

### **PART 3: SOCIAL POLICY ADJUSTMENT IN A PERIOD OF AUSTERITY**

#### **Session 15 (10/24/2012): The UK**

Wood, Stewart. 2001. Business, Government and Patterns of Labor Market Policy in Britain and the Federal Republic of Germany, in Hall, Peter and Soskice, David. 2001. *Varieties of Capitalism*. New York: Oxford University Press, pp. 247- 274

#### **Session 16 (10/29/2012): Germany**

Manow, Philip and Seils, Erich. 2000. Adjusting Badly. The German Welfare State in an era of Globalization, in Scharpf, Fritz, et. al. eds., *Welfare and Work in the Open Economy*, pp. 264- 307.

Mares, Isabela. 2006. *Taxation, Wage Bargaining and Unemployment*. New York: Cambridge University Press, pp. 160 – 173.

#### **Session 17 (10/31/2012): Sweden**

Benner, Max and Bundgaard Vad Torben. 1999. Sweden and Denmark: defending the welfare state. In Scharpf, Fritz and Schmidt, Vivien. Eds. *Welfare and work in the open economy*. New York: Oxford University Press, pp. 399. 466.

### **Part 3: CROSS-REGIONAL COMPARISONS**

#### **University Holiday (11/5/2012)**

#### **Session 18 (11/7/2012): Latin America**

Haggard, Stephan and Kaufman, Robert (2009). *Development, democracy and welfare states*. Princeton, Princeton University Press, pp. 79- 113.

#### **Session 19 (11/12/2012): Social policy developments in East Asia: China, Korea, Taiwan** (Guest lecture: Xian Huang)

Haggard, Stephan and Kaufman, Robert (2009). *Development, democracy and welfare states*. Princeton, Princeton University Press, pp. 221- 261.

#### **Session 20 (11/14/2012): Eastern Europe**

(Guest lecture: Professor Aurelian Muntean, SNSP Bucharest, Fulbright Scholar Columbia University)

Haggard, Stephan and Kaufman, Robert (2009). *Development, democracy and welfare states*. Princeton, Princeton University Press, pp. 143- 178.

## **PART 5: RECENT CHALLENGES**

### **Session 21 (11/19/2012): Deindustrialization and its consequences in advanced industrialized economies**

Iversen, Torben, and Anne Wren. 1998. Equality, Employment and Budgetary Restraint: The Trilemma of the Service Economy, *World Politics*, 50: 3, 507- 546.

Esping-Andersen, Gøsta. (1999) *Social Foundations of Postindustrial Economies*. New York: Oxford University Press, pp. 95- 184

### **Session 22 (11/21/2012): The consequences of globalization**

Rodrik, Dani. 1997. *Has Globalization gone too far?* Washington: Institute for International Economics

Ganghof, Steffen. 2008. The politics of tax structure. In Shapiro, Ian, Swenson, Peter and Donno, Daniela. Eds. *Divide and Deal*. New York: New York University Press, pp. 72- 98.

### **Session 23 (11/26/2012): Social policy transitions in developing countries: from social policy privatization to re-nationalization**

Carnes, Matthew and Mares, Isabela. Manuscript. Deindustrialization and non-contributory social insurance in Latin America. Paper presented at the annual meeting of the American Political Science Association, Toronto, Canada.

### **Session 24 (11/28/2012): Recent changes in welfare states in post-socialist economies** (Guest lecture: Professor Aurelian Muntean, SNSP Bucharest, Fulbright Scholar Columbia University)

### **Session 25 (12/3/2012): Recent transformations in the Chinese welfare state** (Guest lecture: Xian Huang)

Saich, Tony. 2010. *Social policy. Governance and Politics of China*, Palgrave MacMillan, pp. 268-304.

Duckett, Jane. 2011. State, collectivism and worker privilege: A study of urban health insurance reform. *The China Quarterly*. 177: 155: 173

**Session 26 (12/5/2012): Review of course**

**Session 27 (12/10/2012): In-class final**